WORKLOAD/CASELOAD OVERVIEW

and responses to the most frequently asked questions

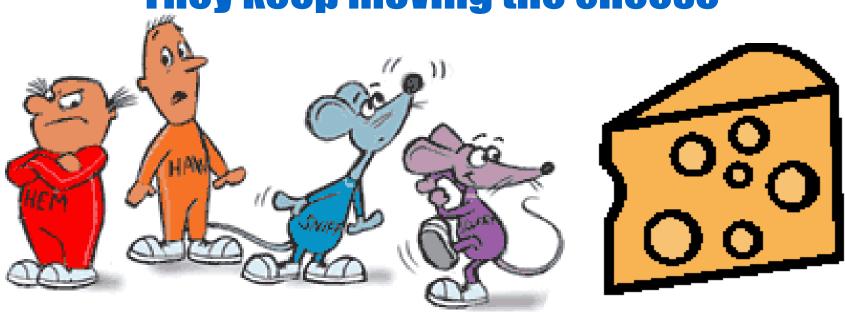
PROVIDED BY:

BARB CONRAD, MA., CCC-SLP
SUPERVISOR OF SPEECH-LANGUAGE THERAPY SERVICES
ESC OF LORAIN COUNTY

Barb and Ann's Workload Story

Caseload to Workload: Redefining Your Role in the Schools (ASHA Schools Conference, 2002)

Change Happens: They keep moving the cheese



Caseload Ratio Study: Final Report to the Ohio Department of Education September 30, 2013

Recommendation of the multi-year Caseload Ratio committee

RFP's Funded by ODE in 2011

- Chuck Carlin et al. (Univ of Akron)
- Defined/quantified workload duties for all service providers
- Resulted in better student outcomes
- Basic assumption: Time for services
 - +Time for workload duties
 - =Total # hours of work week

Caseload Ratio Study: Final Report to the Ohio Department of Education September 30, 2013

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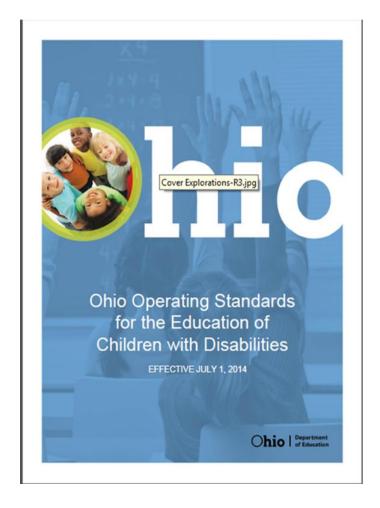
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How do we know consideration of workload is NOT optional in Ohio schools?

Operating Standards for Ohio Educational Agencies Serving Children with Disabilities

(3301-51-9-09) (July, 2014)



- (1) Workload for an individual service provider shall be determined by following process, which incorporates the following components:
- (a) All areas of service provided to children with and without disabilities, including, but not limited to: school duties, staff meetings, professional development, supervisions, travel/transitions, screening, assessment, evaluation, progress documentation and reporting, secondary transition service planning, conference/consultation pertaining to individual students, documentation for individual students, and third party billing requirements.

Itemization of workload duties.

b) The severity of each eligible child's need, and the level and frequency of services necessary to provide a free and appropriate public education (FAPE).

Can we weight each child according to these needs and IEP services?

(c) Time needed for planning in accordance with paragraph (A)(9) of rule 3301-35-05 of the Administrative Code, including statutory and/or contractual agreements applicable to the educational agency.

This law requires 200 minutes per week of planning time on the schedule of Ohio teachers.

- (f) A speech and language pathologist shall provide services to no more than:
 - (i) Eighty school-age children with disabilities, or
 - (ii) No more than fifty school-age children with multiple disabilities, hearing impairments, autism, or orthopedic/other health impairments or
 - (iii) No more than fifty preschool children with disabilities, or
- (iv) **A combination** of preschool and school-age children with disabilities or children with multiple disabilities, hearing impairment, autism, or orthopedic/other health impairments *proportionate* to the ratios set forth in (I)(3)(f)(i), (ii), and (iii).

Each school district shall provide speech and language pathology services as required by division (F) of section 3317.15 of the Revised Code.

(K) Waiver

- (1) If a school district, county board of developmental disabilities or other educational agency exceeds the workload requirements in paragraph (I) of this rule a waiver request must be filed with the Ohio department of education, office for exceptional children. A school district, county board of developmental disabilities or other educational agency may be granted a waiver for individual service provider limits or for age-range per instructional period as required by this rule.
- (2) Requests must be submitted in writing to the Ohio department of education, office for exceptional children or office of early learning and school readiness. The written request shall include, but not be limited to, the following:
 - (a) Identification of the specific rule for which a waiver is being requested;
 - (b) Specific period of time for which the waiver is requested; and
 - (c) Rationale for the request.

(3) Each school district, county board of developmental disabilities or other educational agency shall annually review the reason for its request as it plans for the delivery of services through the strategic planning process as required by paragraph (A) of rule 3301-35-03 of the Administrative Code.

Guidance and monitoring for LEAs for this waiver process

ODE Service Provider Ratio and Workload Calculator



Workload Calculator Calculations for Full-Time Service Providers

Work Parameter

- To find the number of four-week periods in contract: Divide the number of days that the service provider works in the year by 20. This is because a work week is five days. Five times four weeks is 20. Days contracted to work this year / 20
- To find the number of weeks contracted to work each year: Divide the number of contracted days for the service provider in a year by five, which is the number of days a full-time employee works in a week. Days contracted to work this year / 5
- To find the total days available to work per year: Subtract the number of days spent away from work
 from the number of days contracted to work this year.
 Days contracted to work this year Sick days Personal days Professional development days –
 Calamity days Other days away from work
- To find the total minutes available to work per year: Multiply the total days available to work per year by
 the number of hours in the work day. The result is the total number of hours available to work. Then
 multiply 60 (the number of minutes in an hour).
 Total days available to work per contract X Hours in workday X 60
- To find the total weeks available to work per year: Divide the total days available to work per year by
 five, which is the number of days in a work week.
 Total days available to work per year / 5

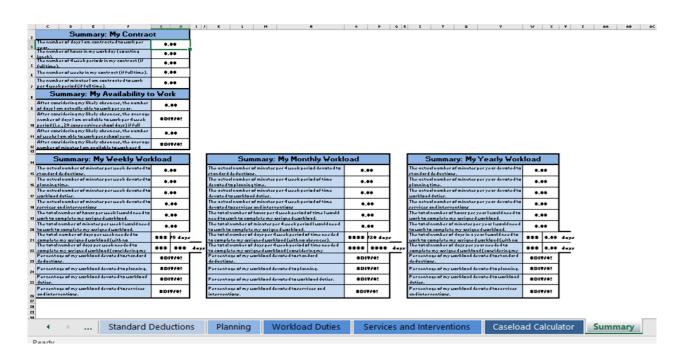
Standard Deductions

- To find the aubtotal: minutes needed for standard deductions per week: The sum of the number of
 minutes per week needed for the various standard deductions.
 Minutes for lunch + Minutes for workload analysis + Minutes for school duties + Minutes for staff meetings
 Minutes for supervision activities + Minutes for transitions between students and/or settings + Minutes for travel between buildings and/or jobsities + Minutes for preparation and delivery of Tier 1 response-tointervention and associated activities + Minutes for any other standard deductions
- To find the minutes needed for standard deductions per contract: Multiply the subtotal of minutes needed for standard deductions per week by the number of weeks in the provider's yearly contract, which was calculated on the Work Parameters page.
 Subtotal: minutes needed for standard deductions per week X Number of weeks contracted to work this

Plannin

- To find the aubbotal: minutes needed for planning time per week: This is the sum of the number of
 minutes per week needed for the various planning activities.
 Minutes for designing work for students + Minutes for progress documentation, reporting, and evaluation
 of progress + Minutes for conferencing and team planning + Minutes for collaborative planning for the
 development of lesson plans + Minutes for ongoing professional development and shared learning
- To find the minutes needed for planning per contract. Multiply the number of minutes needed for planning time per week by the number of weeks in the service provider's yearly contract, which is on the Work Parameters page.
 - Subtotal: minutes needed for planning per week X Number of weeks contracted to work this year

- Can be used for any service provider
- Time study required
- Standard deductions
- Direct and indirect services calculated



Service Provider Ratio and Workload Clarification Memo: 2-3-2016

- Sue Zake, Director of OEC
- Provides guidance to school districts on use of a process for making decisions about the workload for providers of services to students with disabilities
- Definitions of "workload", "caseload", "serve", etc.



John R. Kasich, Governor Dr. Lonny J. Rivera, Interim Superintendent of Public Instruction

ODE-OEC MEMO #2016-2

Ohio Educational Agencies Serving Children with Disabilities

From: Sue Zake, Ph.D., Director of the Office for Exceptional Children

Date: February 3, 2016

Subject: Service Provider Ratio and Workload Clarification

This memo provides guidance to school districts on use of a process for making decisions about the workload for providers of services to students with disabilities as required by Ohio Administrative Code (OAC) 3301-51-0(I).

When determining service provider workload and caseload, here are definitions of terms.

- "Workload" means all services and duties assigned to service providers. This consists of direct and indirect (including but not limited to meetings, paperwork, professional development) service to children with and without disabilities.
- "Caseload" means the number of children who receive specially designed instruction or direct related service from a service provider.
- "Serve" means fuffil the service role of preschool and school-age service providers under OAC <u>Rule 3301-51-09(G)(3)</u>. Therefore, "serve" includes direct and indirect activities such as: direct services and interventions, diagnostics, paperwork, arranging parent involvement, meetings, correspondence, planning, lesson preparation, prevention activities, professional development and collaboration with general education teachers.
- "Case management" means case coordination of a specific student's IEP process and includes the activities listed above

To ensure that children with disabilities receive a free and appropriate public education (FAPE), a district must consider a "case management" ratio and a "per instructional period" ratio. (OAC 3301-51-08(I)). The district must first determine case management requirements for a particular service provider, and then make sure that the service provider has enough time to provide specially designed instruction or direct related service to all assigned children.

The district must consider the individual needs of each child and the level and frequency of services required. To assist in this determination, the Ohio Department of Education has created a <u>workload</u> acaduator. It applies weighted values based on typical needs for students in a disability category and ageigrade range. Your district may consult the calculator to help determine the maximum number of students for each individual provider. However, your district must always consider the specific needs of your student population. If a provider cannot perform all workload duties and meet the direct service/specially designed instruction needs for your assigned children, then you must reduce the caseload or workload. This is regardless of the maximum numbers outlined in the Ohio Operating Standards for the Education of Children with Disabilities, (OAC 3301-51-09(II)).

25 South Front Street Columbus, ONo 43215 education.ohio.gov

et (877) 644-6338 t15 For people who are deaf or hard of hearing, please call Relay Ohio first at 711.

Additional Confirmation: Email Communique Oct. 25, 2019

1. Is a two-prong approach for determining a workload/caseload assignment optional or mandatory according to the 2014 Operating Standards?

Mandatory. See Ohio Administrative Code (OAC) or the Operating Standards (attached) at 3301-51-09(I)(1) Workload for an individual service provider shall be determined by the following process, which incorporates the following components. Then the regulation lists the workload factors, the most important of which is the severity of each eligible child's need and the level and frequency of services necessary to provide FAPE. p.154 I underlined and bolded the word "shall" because in legal language "shall" means the same as "must be done." I helped draft this provision and the Department wanted strong language here to make it clear a workload process must be used.

Bernadette Laughlin, M.A., Esq.

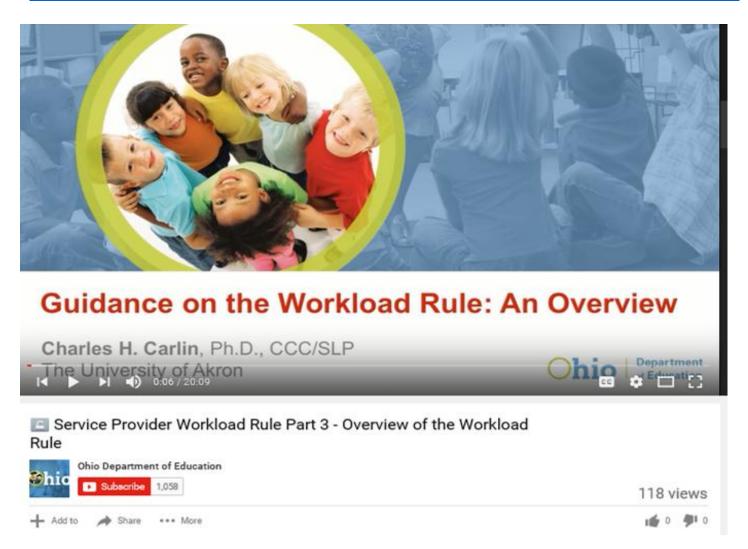
Due Process Coordinator
Office for Exceptional Children
Columbus, Ohio 43215-4183
614-752-7406



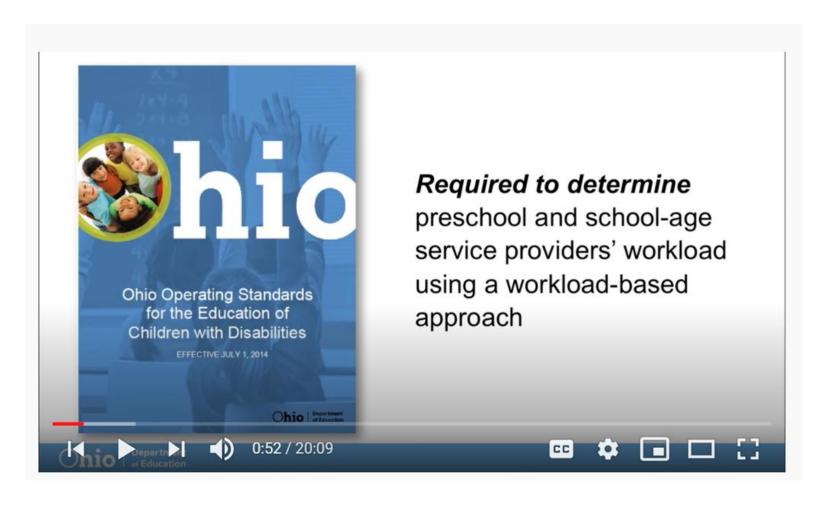


Overview Video by Chuck Carlin: 20:09

Guidance Workload Overview Two prong approach Data driven methods



Watch the Service Provider Workload Rule video series



- Overview
- Adapted Physical Education
 Specialists
- Educational Audiologists
- Intervention Specialists
- Occupational Therapists
- Physical Therapists
- Orientation & Mobility
 Instructors
- Speech-LanguagePathologists
- School Psychologists
- Work Study & VOSE



Why do we determine standard values for each of our workload activities?

SLP:	School(s):							Pate:					
(A time study is optimal to determine standard values for services provided. Once STANDARD VALUES the study is completed insert each value in the yellow boxes below or use the Minutes							alues for SLP	5					
	ne study is compl	eted insert ea	ch value in	the yellow	boxes below or	use the	Minutes						
Standard min/initial ETR									Standard min/				265
Standard min/reevaluation									Standard min/	Te	Sample		210
Standard min/IEP speech o									Standard min	sta	ndard value	ac	180
Standard min/IEP speech r			S	tandard	d Values				Standard mi		sed on a tim		90
Standard min/progress rep									Standard mil			e /	20
Standard min/week for Me	edicaid documen	tation							Standard min/		study	ıl .	150
Standard min/screening									Standard min/				30
Standard min/week for 1 F	TE						0)	Standard min/	week for 1	FIE		2250
				wo	RKLOAD CALC	ULATOR						Number or Minutes	Minutes per Week
Direct service minutes	s for the week as	required per	IEP in group	ps per sche	dule (only IEP st	udents)							0
2. Number of Initial ETRS													0
3. Number of re-evaluati	ions per year												0
4. Number of IEPs Speed	h Primary per ye	ar											0
Number of IEPs Speed	th Related Service	per year											0
6. Number of screenings per year									0				
7. Number of minutes in Staff Meetings per year									0				
8. Number of minutes in intervention/consultation meetings per year (Rtl meetings/sessions)									0				
9. Minutes spent emailin	ng, phoning, pare	nts, parent co	onferences,	SSI paperv	vork minutes per	ryear							0
10. Number of progress re													0
11. Minutes per week ma			devices, pla	nning									0
12. Minutes per week for													0
Minutes per week for transition and travel between buildings									0				
14. Minutes per year in Pr													0
15. Lunch periods per we													0
16. Minutes per week mentoring/supervising								0					
17. Minutes per week in b		9											0
Total Minutes per week - T		duces time so	ent to min	utes per w	eek								0
Estimated FTE Needed for						order to dete	ermine the FTE	required to s	erve this worklo	ad.			#DIV/0!
CASELOAD CALCULATOR	R: Insert ti	ne number of	students pe	er category	. Weights apply 1	to a mixed sp	eech/language	school-age o	aseload only: g	reen boxes	are weighted at	1.6.	
									,,,,				
			04-Visua										Weighted
SLP NAME (02-Dea 01-MD Blind		lly	05.511	06.0H	08-ED	09-CD	40 SLD	12-Autism	43 TPI	14 OHI	16-Pre-school	Weighted Caseload
JEF HAME (VI-MD Blind	Deaf	Impaired	05-3LI	06-OH	00-ED	09-00	10-SLD	1Z-Auusm	13-TBI	14-OHI	10-PTE-SCHOOL	
													0

Slone/Conrad updated 2021

Standard Values are necessary to:

- Quantify workload
- Obtain consistent, objective data for workload determination
- Data-driven determination of workload activities
- Identify what you do and how long it takes (average) and what is necessary



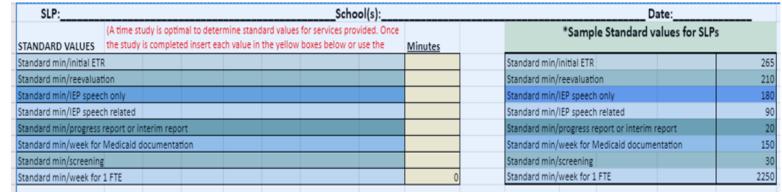
How do we figure standard values for each of our workload activities?

Time Study to determine Standard Values:

<u>Service Provider Workload Rule Part 3 - Overview Time Study</u>

Standard minutes for:

- Initial ETR
- Reevaluation
- IEP speech as primary
- IEP for related services
- Progress report or interim report
- Medicaid documentation per week
- Screening
- Reasonable work week (perhaps beyond contracted time)



Standard Values

SLP:						Scho	ol(s):					D	ate:	
	(A time study is optimal to determine standard values for services provided. Once *Sample Standard v								s					
STANDARD VALUES	the study	is complete	ed insert ea	ch value in	the yellow	boxes below or	use the	Minutes						
Standard min/initial ETR										Standard min/				265
Standard min/reevaluatio										Standard min/				210
standard min/IEP speech										Standard min/				180
	Standard Walues Standard Values											90		
	Standard min/progress report or interim report											20		
Standard min/week for Medicaid documentation									entation	150				
standard min/screening										Standard min/	_			30
Standard min/week for 1	FTE							()	Standard min/	week for 1	FTE		2250
					wo	RKLOAD CALCU	JLATOR						Number or	Minutes per
									Minutes	Week				
Direct service minute			quired per l	EP in group	os per sche	dule (only IEP st	udents)							0
2. Number of Initial ETF														0
 Number of re-evalua 														0
Number of IEPs Spee														0
Number of IEPs Spee			er year											0
 Number of screening 														0
7. Number of minutes i														0
 Number of minutes i 										- It	emizec	\		0
 Minutes spent email 	ing, phon	ing, parent	s, parent co	nferences,	SSI paperv	vork minutes per	year				load du			0
Number of progress	reports o	r interims p	er year							WOLK	todd do	ties		0
Minutes per week m	aking mat	terials, pro	gramming d	levices, pla	nning									0
2. Minutes per week fo	r Medicai	d documer	ntation											0
3. Minutes per week fo	r transitio	n and trav	el between	buildings										0
14. Minutes per year in i	Profession	al Develop	ment											0
15. Lunch periods per w	eek													0
L6. Minutes per week m	entoring/	supervising	g											0
.7. Minutes per week in														0
			ices time sp	ent to min	utes per we	eek								0
Total Minutes per week - This calculation reduces time spent to minutes per week Estimated FTE Needed for This Workload - Then divides by the number of available minutes in order to determine the FTE required to serve this workload.									#DIV/0!					
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CASELOAD CALCULATO	R:	Insert the	number of	students ne	er category	Weights annly t	to a mixed sr	eech/language	school-age o	aseload only- a	reen boxe	are weighted at	1.6	
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														0

Sample standard values based on a time study

Slone/Conrad updated 2021

SLP:	School(s):							
(A time study is optin	mal to determine standard values for s ed insert each value in the yellow box	*Sample Standard values for SLPs						
Standard min/initial ETR				Standard min/initial ETR		265		
Standard min/reevaluation				Standard min/reevaluation		210		
tandard min/IEP speech only				Standard min/IEP speech only		180		
tandard min/IEP speech related				Standard min/IEP speech related		9		
tandard min/progress report or interim repo	ort			Standard min/progress report or interir	n report	2		
tandard min/week for Medicaid documenta	mentation	15						
Standard min/screening				Standard min/screening		3		
Standard min/week for 1 FTE			0	Standard min/week for 1 FTE		225		
	WORKL	OAD CALCULATOR			Number or Minutes	Minutes per Week		
. Direct service minutes for the week as re	equired per IEP in groups per schedule	(only IEP students)						
. Number of Initial ETRS per year								
. Number of re-evaluations per year								
. Number of IEPs Speech Primary per year								
. Number of IEPs Speech Related Service p	ier year							
. Number of screenings per year								
. Number of minutes in Staff Meetings per				Color coded				
. Number of minutes in intervention/cons								
. Minutes spent emailing, phoning, parent	_							
O. Number of progress reports or interims								
 Minutes per week making materials, pro 								
 Minutes per week for Medicaid docume 	ntation							
 Minutes per week for transition and trav 								
 Minutes per year in Professional Develor 	pment							
5. Lunch periods per week								
Minutes per week mentoring/supervisin	E							
7. Minutes per week in building duties								
otal Minutes per week - This calculation red	uces time spent to minutes per week							
stimated FTE Needed for This Workload - Th	en divides by the number of available	minutes in order to det	ermine the FTE requ	ired to serve this workload.	FTE	#DIV/0!		



How can we streamline our workload?

Streamlining our workload...

- · Provide clerical assistance for scheduling meetings/entering Medicaid/sending out paperwork.
- No committee work required of SLPs.
- · SLPs not required to attend building staff meetings if not pertinent.
- · SLPs do not have building duties, i.e. recess, lunch duty, etc.
- · Timed agendas sent out ahead of meetings and stick to it.
- Co-teaching with a gen ed or special ed teacher.
- · Cluster screening events so minimal service time is missed.
- · Form a diagnostic team to cut down on SLP evaluation time.
- Doodle to schedule meetings at a time that direct service does not need to be cancelled.
- · SLPs do NOT have to attend entire/any of IEP meeting if they are related service.
- Problem-solve and consult only for RtI for speech-language concerns.
- · Word process progress reports/cut/paste & print all at one time rather than use Progress Book, it's complex and slow.
- Format PR-01 to reuse at various meetings but remember to individualize per student/meeting.
- Others?



Why should we streamline our workload?

Why should we streamline our workload?

- Provide better FREE APPROPRIATE PUBLIC EDUCATION (FAPE)
- ·Be effective and achieve positive student outcomes
- Use evidence-based practices
- Individualize
- Provide services in LRE
- •Work efficiently!
- •Avoid burnout!





Why do we weight students of certain disability categories more than others in caseload analysis?

Proportional calculation or weight of a mixed caseload:

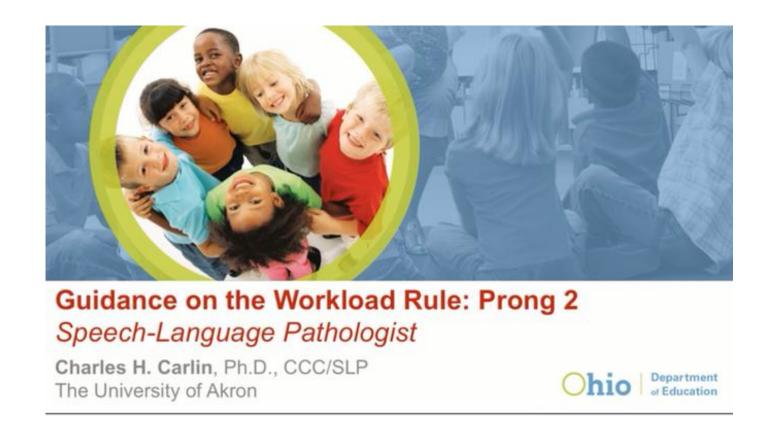
Operating Standards for Ohio Educational Agencies Serving CWD (July, 2014): 3301-51-09

- f) A speech and language pathologist shall provide services to no more than:
 - (i) Eighty school-age children with disabilities, or
- (ii) No more than fifty school-age children with multiple disabilities, hearing impairments, autism, or orthopedic/other health impairments or
 - (iii) No more than fifty preschool children with disabilities, or
- (iv) **A combination** of preschool and school-age children with disabilities or children with multiple disabilities, hearing impairment, autism, or orthopedic/other health impairments *proportionate* to the ratios set forth in (I)(3)(f)(i), (ii), and (iii).



How should we weight students of certain disability categories more than others in caseload analysis? (Prong 2)

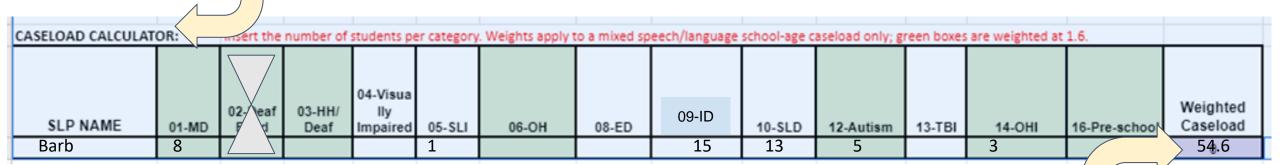
Guidance on Workload Rule: Prong 2 SLP



Click here: Guidance on the Workload Rule: Prong 2

Prong Two: Caseload Size

Example: Weighting certain disabilities to determine total weighted caseload for the SLP's assignment



Totals: 45 students = 54.6 weighted caseload

Reducing Caseload

- Does the student meet all 3 federal requirements? Overidentifying?
- Realigning caseload and/or building assignments
- Improving workload
- Related services double check
- Dismissing when swd reach 80%+
- Lifers
- Sharing the language load with others
- (Teaming with other SLPs for screening, re-evals, etc.)
- Temporary support to catch up
- Adding staff-retiree

Work the workload process annually or more frequently if needed:

- Families can be transient
- Classrooms move
- Bubble classes exist
- Populations change
- Time consuming cases/workload, i.e., TBI case
- Prevention, RtI, Kdgn Lessons, Preschool Centers
- Shift happens





For assistance with SLP workload/caseload analysis contact:

Barb Conrad at conrad@esclc.org or 440-324-3178 ext. 1254* Webpage

*Note: next year my ext. will change